### 13.1 Languages and Grammar

## Formal Language

Formal language is a language that is specified by a well-defined set of rules of syntax.

## Formal Grammar

A formal grammar $G$ is any compact, precise definition of a language $L$. A grammar implies an algorithm that would generate all legal sentences of the language.

## Phrase-structure Grammar

First, some definitions.

## Vocabulary

A vocabulary (or alphabet) $V$ is a finite, nonempty set of elements called symbols.

Word
A word (or sentence) over $V$ is a string of finite length elements of $V$.

## Empty String $\lambda$

The empty string or null string, denoted by $\lambda$, is the string containing no symbols.

## Set of Words \& Set of Language

The set of all words over $V$ is denoted by $V^{*}$. A language over $V$ is a subset of $V^{*}$.

## Phrase-Structure Grammar

A phrase-structure grammar $G=(V, T, S, P)$ consists of a vocabulary $V$, a subset $T$ of $V$ consisting of terminal symbols, a start symbol $S$ from $V$, and a finite set of productions $P$. The set $V-T$ is denoted by $N$. Elements of $N$ are called nonterminal symbols. Every production in $P$ must contain at least one nonterminal on its left side.

## Derivability

Let $G=(V, T, S, P)$ be a phrase-structure grammar. Let $w_{0}=l z_{o} r$ (that is, the concatenation of $l, z_{o}$, and $r$ ) and $w_{1}=l z_{1} r$ be strings over $V$. If $z_{o} \rightarrow z_{1}$ is a production of $G$, we say that $w_{1}$ is directly derivable from $w_{0}$ and we write $w_{0} \Rightarrow w_{1}$. If $w_{0}, w_{1}, \ldots, w_{n}$ are strings over $V$ such that $w_{0} \Rightarrow w_{1}, w_{1} \Rightarrow w_{2}, \ldots, w_{n-1} \Rightarrow w_{n}$, then we say that $w_{n}$ is derivable from $w_{0}$, and we write $w_{0} \stackrel{*}{\Rightarrow} w_{n}$. The sequence of steps used to obtain $w_{n}$ from $w_{0}$ is called a derivation.

## Language Generated by $G, L(G)$

Let $G=(V, T, S, P)$ be a phrase-structure grammar. The language generated by $G$ (or the language of $G$ ), denoted by $L(G)$, is the set of all strings of terminals that are derivable from the starting state $S$. In other words,

$$
L(G)=\left\{w \in T^{*} \mid S \stackrel{*}{\Rightarrow} w\right\}
$$

## Types of Grammars

| Type | Restrictions on Productions $w_{1} \rightarrow w_{2}$ |
| :---: | :--- |
| 0 | No restrictions |
| 1 | $w_{1}=l A r$ and $w_{2}=l w r$, where $A \in N, l, r, w \in(N \cup T)^{*}$ and $w \neq \lambda ;$ or $w_{1}=S$ and <br> $w_{2}=\lambda$ as long as $S$ is not on the right-hand side of another production |
| 2 | $w_{1}=A$, where $A$ is a nonterminal symbol |
| 3 | $w_{1}=A$ and $w_{2}=a B$ or $w_{2}=a$, where $A \in N, B \in N$, and $a \in T ;$ or $w_{1}=S$ and <br> $w_{2}=\lambda$ |

## Derivation Trees

A derivation in the language generated by a context-free grammar can be represented graphically using an ordered rooted tree, called a derivation, or parse tree. The root of this tree represents the starting symbol. The internal vertices of the tree represent the nonterminal symbols that arise in the derivation. The leaves of the tree represent the terminal symbols that arise. If the production $A \rightarrow w$ arises in the derivation, where $w$ is a word, the vertex that represents $A$ has as children vertices that represent each symbol in $w$, in order from left to right.

## Backus-Naur Form

The Backus-Naur form (BNF) is used to specify the syntactic rules of many computer languages, including Java. The productions in a type 2 grammar have a single nonterminal symbol as their left-hand side. Instead of listing all the productions separately, we can combine all those with the same nonterminal symbol on the left-hand side into one statement. Instead of using the symbol $\rightarrow$ in a production, we use the symbol $::=$. We enclose all nonterminal symbols in brackets, $\rangle$, and we list all the right-hand sides of productions in the same statement, separating them by bars.

An example of BNF
$\langle$ identifier $\rangle::=\langle l$ cletter $\rangle \mid\langle$ identifier $\rangle\langle l$ lcletter $\rangle$
$\langle l$ cletter $\rangle::=a|b| c|\cdots| z$

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Let $G=(V, T, S, P)$ be the phrase-structure grammar with $V=\{0,1, A, B, S\}, T=\{0,1\}$, and set of productions $P$ consisting of $S \rightarrow 0 A, S \rightarrow 1 A, A \rightarrow 0 B, B \rightarrow 1 A, B \rightarrow 1$.
a) Show that 10101 belongs to the language generated by $G$.

$$
S \Rightarrow 1 A \Rightarrow 10 B \Rightarrow 101 A \Rightarrow 1010 B \Rightarrow 10101
$$

b) Show that 10110 does not belong to the language generated by $G$.

Notice the two adjacent 1s in the string. By looking at our set of productions, $P$ does not contain any rules that allow two 1 s to be adjacent to each other.
c) What is the language generated by $G$ ?

By looking at our set of production rules, we can easily see that our string must first start with either 0 or 1 because of $S \rightarrow 0 A$ and $S \rightarrow 1 A$. The question now becomes what comes after the first symbol. We first consider the rules $A \rightarrow 0 B$, and $B \rightarrow 1 A$. By looking at these rules, we know that the symbols that follow the first symbol will alternate between 0 and 1 . So we get $101 A$ or $001 A$. We also know that our string can only terminate by using the rule $B \rightarrow 1$. In addition, we know that each 1 is preceded by a 0 . So this means we will have one or more 01 's following the first symbol. The language generated by $G$ is $\left\{0(01)^{n} \mid n \geq 1\right\} \cup\left\{1(01)^{n} \mid n \geq 1\right\}$.

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Find a phrase-structure grammar for each of these languages.
a) the set consisting of the bit strings 0,1 , and 11

Let $G=(V, T, S, P)$ be the phrase-structure grammar with $V=\{0,1, S\}, T=\{0,1\}$, and set of productions $P$ consisting of $S \rightarrow 0, S \rightarrow 1, S \rightarrow 11$.
b) the set of bit strings containing only 1 s

Let $G=(V, T, S, P)$ be the phrase-structure grammar with $V=\{1, S, A\}, T=\{1\}$, and set of productions $P$ consisting of $S \rightarrow 1 A, A \rightarrow 1 A, A \rightarrow \lambda$.
c) the set of bit strings that start with 0 and end with 1

Let $G=(V, T, S, P)$ be the phrase-structure grammar with $V=\{0,1, S, A\}, T=\{0,1\}$, and set of productions $P$ consisting of $S \rightarrow 0 A 1, A \rightarrow 0 A, A \rightarrow 1 A, A \rightarrow \lambda$.
d) the set of bit strings that consist of a 0 followed by an even number of 1 s .

Let $G=(V, T, S, P)$ be the phrase-structure grammar with $V=\{0,1, S, A\}, T=\{0,1\}$, and set of productions $P$ consisting of $S \rightarrow 0 A, A \rightarrow 11 A, A \rightarrow \lambda$.

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Construct phrase-structure grammars to generate each of these sets.
a) $\left\{0^{n} \mid n \geq 0\right\}$

Let $G=(V, T, S, P)$ be the phrase-structure grammar with $V=\{0, S\}, T=\{0\}$, and set of productions $P$ consisting of $S \rightarrow 0 S, S \rightarrow \lambda$.
b) $\left\{1^{n} 0 \mid n \geq 0\right\}$

Let $G=(V, T, S, P)$ be the phrase-structure grammar with $V=\{0,1, S, A\}, T=\{0,1\}$, and set of productions $P$ consisting of $S \rightarrow A 0, A \rightarrow A 1, A \rightarrow \lambda$.
c) $\left\{(000)^{n} \mid n \geq 0\right\}$

Let $G=(V, T, S, P)$ be the phrase-structure grammar with $V=\{0, S\}, T=\{0\}$, and set of productions $P$ consisting of $S \rightarrow 000 S, S \rightarrow \lambda$.

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Construct a derivation tree for -109 using the given grammar.

```
\langlesigned integer\rangle ::=\langlesign\rangle\langleinteger\rangle
<sign\rangle ::= +|-
\langleinteger\rangle ::= <digit\rangle}\rangle\langle\mathrm{ digit }\rangle\langle\mathrm{ integer }
<digit\rangle ::= 0|1|2|3|4|5|6|7|8|9
```



## 13.1 pg. 857 \# 31

Give production rules in Backus-Naur form for an identifier if it can consist of
a) one or more lowercase letters.
$\langle$ identifier $\rangle::=\langle l$ cletter $\rangle \mid\langle$ identifier $\rangle\langle l$ cletter $\rangle$
$\langle l$ cletter $\rangle::=a|b| c|\cdots| z$
b) at least three but no more than six lowercase letters.

```
\(\langle\) identifier \(\rangle::=\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle \mid\)
\(\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle \mid\)
\(\langle l\) cletter \(\rangle\langle l\) lcletter \(\rangle\langle l\) cletter \(\rangle\langle l\) cletter \(\rangle\langle l\) lcletter \(\rangle \mid\)
\(\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle\langle l c l e t t e r\rangle\langle l\) cletter \(\rangle\)
\(\langle l\) cletter \(\rangle::=a|b| c|\cdots| z\)
```

c) one to six uppercase or lowercase letters beginning with an uppercase letter.

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\(\langle\) identifier \(\rangle::=\langle u c l e t t e r\rangle \mid\)
\(\langle u c l e t t e r\rangle\langle l e t t e r\rangle \mid\)
\(\langle u c l e t t e r\rangle\langle l e t t e r\rangle\langle l e t t e r\rangle \mid\)
\(\langle u c l e t t e r\rangle\langle l e t t e r\rangle\langle l e t t e r\rangle\langle l e t t e r\rangle \mid\)
\(\langle u c l e t t e r\rangle\langle l e t t e r\rangle\langle\) letter \(\rangle\langle l e t t e r\rangle\langle l e t t e r\rangle \mid\)
\(\langle\) ucletter \(\rangle\langle\) letter \(\rangle\langle\) letter \(\rangle\langle\) letter \(\rangle\langle\) letter \(\rangle\langle\) letter \(\rangle\)
\(\langle\) letter \(\rangle::=\langle u c l e t t e r\rangle \mid\langle l\) cletter \(\rangle\)
\(\langle\) ucletter \(\rangle::=A|B| C|\cdots| Z\)
\(\langle l\) cletter \(\rangle::=a|b| c|\cdots| z\)
```

d) a lowercase letter, followed by a digit or an underscore, followed by three or four alphanumeric characters (lower or uppercase letters and digits).
$\langle$ identifier $\rangle::=\langle$ lcletter $\rangle\langle$ digitorus $\rangle\langle$ alphanumeric $\rangle\langle$ alphanumeric $\rangle\langle$ alphanumeric $\rangle \mid$ $\langle$ lcletter $\rangle\langle$ digitorus $\rangle\langle a l p h a n u m e r i c\rangle\langle a l p h a n u m e r i c\rangle\langle a l p h a n u m e r i c\rangle\langle a l p h a n u m e r i c\rangle$
$\langle$ digitorus $\rangle::=\left.\langle$ digit $\rangle\right|_{-}$
$\langle$ alphanumeric $\rangle::=\langle$ letter $\rangle \mid\langle$ digit $\rangle$
$\langle$ letter $\rangle:=\langle$ ucletter $\rangle \mid\langle l$ lcletter $\rangle$
$\langle$ ucletter $\rangle::=A|B| C|\cdots| Z$
$\langle$ lcletter $\rangle::=a|b| c|\cdots| z$
$\langle$ digit $\rangle::=0|1| 2|\cdots| 9$

