Virtual Memory and Paging (1)

ICS332 Operating Systems

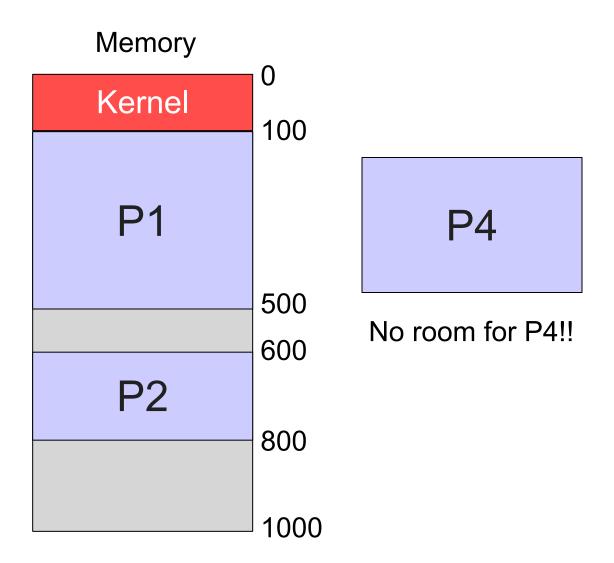
Henri Casanova (henric@hawaii.edu)

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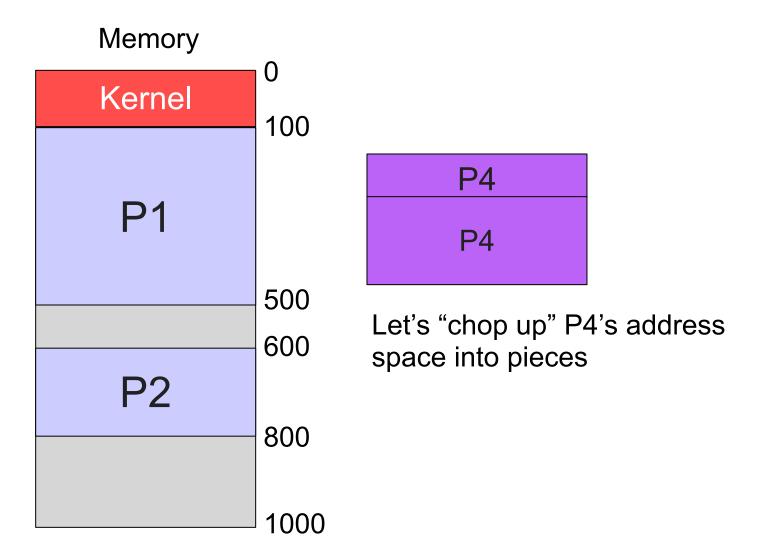
Conclusion (Previous Module)

- Assumption so far: Each process is in a contiguous address space
 - I'll assume a single segment, for simplicity ("address space" = "segment" in these lecture notes)
- Address virtualization is simple
 - □ Just a base register and a limit register, a comparison, an addition, and voila
- No "best" memory allocation strategies
 - □ First Fit, Worst Fit, Best Fit, others???
- Fragmentation can be very large
 - □ RAM is wasted, which is terrible
- There can be process starvation in spite of sufficient available RAM due to fragmentation
 - □ 100 1MiB holes don't allow a 100MiB process to run!
- Conclusion: Our base assumption is flawed!
- So.... address spaces shouldn't be contiguous!?!

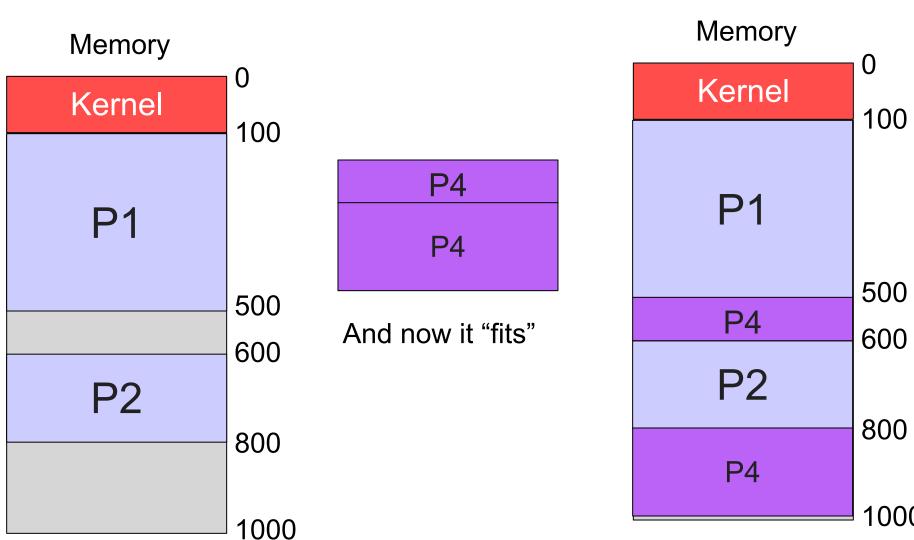
Contiguous Address Space











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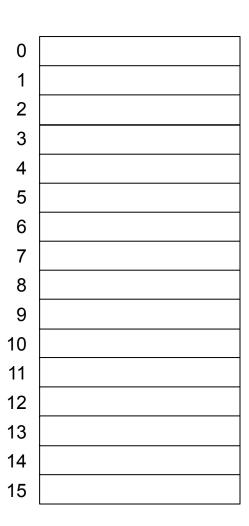
The Solution: "Paging"

- Out solution: break up address spaces into smaller chunks
- Should we have chunks of variable size like we just did on the previous example?
- Not a good idea as this is a well-known difficult problem algorithmically: Bin Packing
 - Known to be NP-hard
 - We really don't want for the OS to have to solve some NP-hard problem!
- But if chunk sizes are fixed, it all becomes easy!
 - □ Bin packing is easy if all chunks have the same size
- So that's what we do: we just call the chunks "pages"
- Each process' address spaces in split into same-size pages
- This approach is called Paging



Paging

- The physical memory is split in fixedsize frames, and each frame can hold a page (frame size = page size)
- A page is "virtual" (or "logical"): Virtual Page Number (VPN)
- A frame is physical: Physical Frame Number (PFN)





Paging

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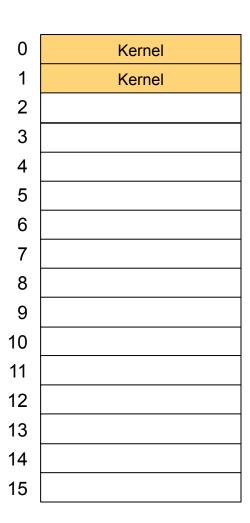
0	Kernel
1	Kernel
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	



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P1's LOGICAL address space

P1 - page 0
P1 - page 1
P1 - page 2
P1 - page 3



Paging

The physical memory is split in fixedsize frames, and each frame can hold a page (frame size = page size)

 A page is "virtual" (or "logical"): Virtual Page Number (VPN)

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P1 - page 3

P1's LOGICAL address space

P1 - page 0

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P1 - page 2

P1 - page 2

Kernel

Kernel

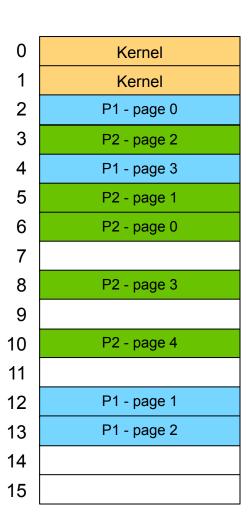
P1 - page 0

P1 - page 3

3

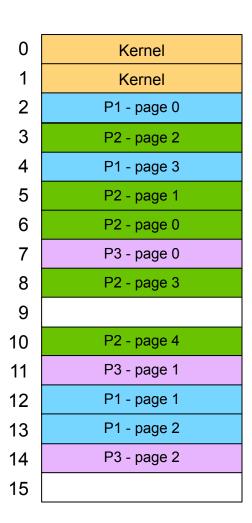


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- The physical memory is split in fixedsize frames, and each frame can hold a page (frame size = page size)
- A page is "virtual" (or "logical"): Virtual Page Number (VPN)
- A frame is physical: Physical Frame Number (PFN)
- And just like that, we have noncontiguous memory allocation
- We still have internal fragmentation, but never external fragmentation!

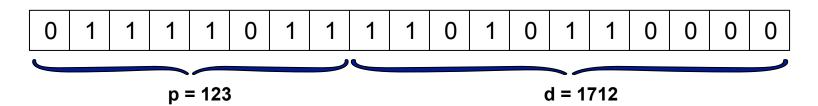


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Paging and Addressing

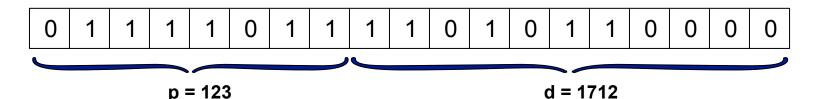
- In the previous picture you see that a process' address space is non-contiguous and pages are not even in the "right order"
- When we used to say "some byte is at offset X from the beginning of the address space", now we have to say "some byte is at offset Z from the beginning of the Y-th page of the address space"
- So when we're given a logical address, we have to compute: the virtual page number and the offset within that page
- For instance, if the page/frame size is 1000 bytes, and we're talking about the 1200-th byte in the address space, then we say that the virtual page number is 1 and the offset is 200!
 - Now you see why we talked about parking lots in the Counting and Addressing module (spots are bytes, blocks of spots are pages)

Virtual addresses issued by the CPU are split into two parts



- The virtual/logical page number: p
- The offset within the page: d
- "Read the value at address x" becomes "read the value at offset d in page p"

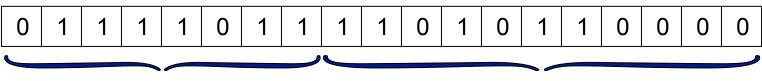
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- The virtual/logical page number: p
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In the above example, how many pages can the process have?

Virtual addresses issued by the CPU are split into two parts



$$p = 123$$

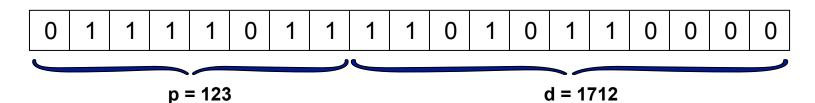
$$d = 1712$$

- The virtual/logical page number: p
- The offset within the page: d
- "Read the value at address x" becomes "read the value at offset d in page p"

In the above example, how many pages can the process have?

8 bits
$$\rightarrow$$
 28 = 256 pages

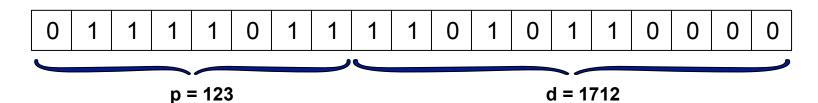
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- The virtual/logical page number: p
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In the above example, how big is each page?

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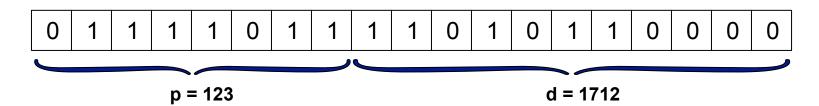


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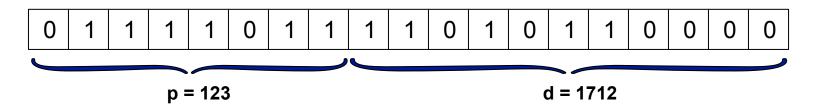
11 bits \rightarrow 2¹¹ = 2KiB in a page

Virtual addresses issued by the CPU are split into two parts



- The virtual/logical page number: p
- The offset within the page: d
- "Read the value at address x" becomes "read the value at offset d in page p"
- The process still has the illusion of a contiguous address space starting at page 0, continuing at page 1, etc.
- But in reality (i.e., in the physical RAM), each page is in a memory frame anywhere: We say "page p is in frame f"

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- But in reality (i.e., in the physical RAM), each page is in a memory frame anywhere: We say "page p is in frame f"
- Obvious Question: how do we know in which frame a page is??

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Page-to-Frame Translation

- The Virtual Page Number (VPN) has to be translated to the corresponding Physical Frame Number (PFN)
- This is a more sophisticated address translation scheme than what we saw in the previous module for contiguous memory allocation
- Remember from the previous slide: instead of "read the value at address x", a program program does "read the value at offset d in page p"
- Therefore we need to keep track, for each process, of the mapping between each of its pages and the physical frame that page is in
- To this end, each process has a page table...



Let's consider a system where the physical memory consists of 8 frames

- The physical memory has some size, and the OS defines the frame/ page size
- Let's say the Kernel fits in frame 0

F#	
0	Kernel
1	free
2	free
3	free
4	free
5	free
6	free
7	free

Physical Memory



Page 0

Page 1

Page 2

Page 3

Logical Address Space

- Let's consider a process whose address space fits in 4 pages
- The OS will place these pages in some of the frames...

F #	
0	Kernel
1	free
2	free
3	free
4	free
5	free
6	free
7	free

Physical Memory



Page 0

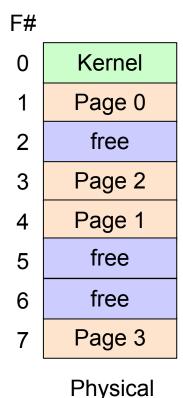
Page 1

Page 2

Page 3

Logical Address Space

- Let's consider a process whose address space fits in 4 pages
- The OS will place these pages in some of the frames...
- For instance, as shown on the right
- The OS will maintain a table that maps each page # to a frame #...



Memory



Page 0
Page 1
Page 2
Page 3

Logical Address Space

Page	Frame
0	1
1	4
2	3
3	7

Page Table

F#	
0	Kernel
1	Page 0
2	free
3	Page 2
4	Page 1
5	free
6	free
7	Page 3

Physical Memory



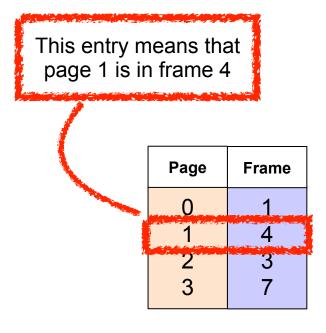
Page 0

Page 1

Page 2

Page 3

Logical Address Space



Page Table

F#		
0	Kernel	
1	Page 0	
2	free	
3	Page 2	
4	Page 1	
5	free	
6	free	
7	Page 3	

Physical Memory

M

Page Size

- The page size is defined by the architecture
 - □ x86-64: 4 KiB, 2 MiB, and 1 GiB
 - □ ARM: 4 KiB, 64 KiB, and 1 MiB
- The page size in bytes is always a power of 2
- The pagesize command gives you the page size on UNIX-like systems
- For instance, on my laptop: 16KiB
- You can easily reconfigure your OS to use a different page size, as long as that page size is supported by the hardware
 - We'll understand why you may want smaller/bigger pages later...

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Page Size: Address Decomposition

- Say the size of the logical address space is 2^m bytes
- Say a page is 2ⁿ bytes (n < m), then...
- The n low-order bits of a logical address are the offset into the page
 - □ offset ranges between 0 and 2ⁿ⁻¹, each one corresponding to a byte in the page
- The remaining m n high-order bits are the logical page number
- We already saw this on an example! let's see it on another example...

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Example

■ Physical memory size = 2^5 = 32 bytes

- Physical memory size = 2⁵ = 32 bytes
- How many bits in a physical address?

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 - □ How many bits are necessary to address 2⁵ thingies?

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5 bits

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 - e.g., Frame #2 contains values at physical addresses 8, 9, 10, 11
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0 - 00000 1 - 00001	
2 - 00010	Frame 0
3 - 00011	
4 - 00100	
5 - 00101	
6 - 00110	Frame 1
7 - 00111	
8 - 01000	
9 - 01001	
10 - 01010	Frame 2
11 - 01011	
12 - 01100	
13 - 01101	
14 - 01110	Frame 3
15 - 01111	
16 - 10000	
17 - 10001	Frame 4
18 - 10010	Frame 4
19 - 10011	
20 - 10100	
21 - 10101	Frame 5
22 - 10110	Frame 5
23 - 10111	
24 - 11000	
25 - 11001	Frame 6
26 - 11010	i i aiiie 0
27 - 11011	
28 - 11100	
29 - 11101	Frame 7
30 - 11110	i idilie i
31 - 11111	

- Physical memory size = 2⁵ = 32 bytes
- 5-bit physical addresses
- Say we pick frame size = 4 bytes
 - e.g., Frame #2 contains values at physical addresses 8, 9, 10, 11
- Therefore we also pick page size = 4 bytes
- How many 4-byte frames are there?

$$\frac{2^5 \text{ (bytes)}}{2^2 \text{ (bytes / frame)}} = 2^3 = 8 \text{ frames}$$

Frame 0	00000 00001 00010 00011	- - -	0 1 2 3
	00100	_	4
	00100	_	5
Frame 1	00101	_	6
	00110	_	7
	01000	_	8
	01000	_	9
Frame 2	01010	_	10
	01011	_	11
	01100	_	12
	01101	_	13
Frame 3	01110	_	14
	01111	_	15
	10000	_	16
Frame 4	10001	_	17
Frame 4	10010	-	18
	10011	_	19
	10100	_	20
Frame 5	10101	-	21
Frame 5	10110	-	22
	10111	_	23
	11000	-	24
Frame 6	11001	-	25
Frame 6		-	26
	11011	_	27
Frame 7	11100	-	28
	11101	-	29
	11110	-	30
	11111	-	31

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$$\frac{2^5 \text{ (bytes)}}{2^2 \text{ (bytes / frame)}} = 2^3 = 8 \text{ frames}$$

- We have 2³ frames
- Note that the first 3 bits of the physical address give us the frame number!

0 - 000 1 - 000 2 - 000 3 - 000	01	Frame 0
4 - 001 5 - 001 6 - 001 7 - 001	00 01 10	Frame 1
8 - 010 9 - 010 10 - 010 11 - 010	01 10	Frame 2
12 - 011 13 - 011 14 - 011 15 - 011	01 10	Frame 3
16 - 100 17 - 100 18 - 100 19 - 100	01	Frame 4
20 - 101 21 - 101 22 - 101 23 - 101	01	Frame 5
24 - 110 25 - 110 26 - 110 27 - 110	01 10	Frame 6
28 - 111 29 - 111 30 - 111 31 - 111	01 10	Frame 7

- Physical memory size = 2⁵ = 32 bytes
- 5-bit physical addresses
- frame / page size = 4 bytes
- Say we have a process with a 16-byte address space
 - □ Therefore is has 16/4 = 4 pages
- Say its bytes have values a, b, c, ...

0	a
1	b
2	С
3	d
<u>3</u>	е
5	f
6	g
7_	h i
8	
9	j
10	k
11	1
12	m
13	n
14	0
15	р

1 2	- 00000 - 00001 - 00010 - 00011	Frame 0
4 5 6	- 00100 - 00101 - 00110 - 00111	Frame 1
8 9 10	- 01000 - 01001 - 01010 - 01011	Frame 2
13	- 01100 - 01101 - 01110 - 01111	Frame 3
17 18	- 10000 - 10001 - 10010 - 10011	Frame 4
21 22	- 10100 - 10101 - 10110 - 10111	Frame 5
25 26	- 11000 - 11001 - 11010 - 11011	Frame 6
29	- 11100 - 11101 - 11110 - 11111	Frame 7



- Physical memory size = 2⁵ = 32 bytes
- 5-bit physical addresses
- frame / page size = 4 bytes
- How many bits in a virtual address for that process?

0	a
1	b
2	С
3	d
3 4 5	е
5	e fi
6	g
6 7	h
8	g h
9	j
10	k
_11	1
12	m
13	n
14	0
15	р

p #	f #
0	5
1	6
2	1
3	2

Frame 0		00000 00001 00010	- - -	0 1 2
		00011	_	3
	i	00100	-	4
Frame 1	j	00101	-	5
	k	00110	-	6
	1	00111	-	7
	m	01000	-	8
Eromo 2	n	01001	-	9
Frame 2	0	01010	-	10
	р	01011	-	11
		01100	_	12
Eromo 2		01101	-	13
Frame 3		01110	-	14
		01111	_	15
		10000	_	16
France 4		10001	_	17
Frame 4		10010	_	18
		10011	_	19
	a	10100	_	20
	b	10101	_	21
Frame 5	С	10110	_	22
	d	10111	_	23
	е	11000	-	24
F	f	11001	_	25
Frame 6	g	11010	_	26
	h	11011	-	27
		11100	-	28
F 7		11101	_	29
Frame 7		11110	_	30
		11111	-	31



- Physical memory size = 2⁵ = 32 bytes
- 5-bit physical addresses
- frame / page size = 4 bytes
- How many bits in a virtual address for that process?
 - □ 2-bit page index (2² pages)
 - □ 2-bit offset (2² bytes in a page)
 - 4-bit addresses

0	a
1	b
2	С
3	d
3 4 5	е
5	f
6	g
6 7 8	g h
8	i
9	i j
10	k
_11	1
12	m
13	n
14	0
15	р

p#	f #
0	5
1	6
2	1
3	2

0 - 00000 1 - 00001 2 - 00010 3 - 00011		Frame 0
	-	
4 - 00100	i	
5 - 00101	j	Frame 1
6 - 00110	k	
7 - 00111	1	
8 - 01000	m	
9 - 01001	n	Frame 2
10 - 01010	0	Fraine 2
11 - 01011	р	
12 - 01100		
13 - 01101		Frame 3
14 - 01110		Frame 3
15 - 01111		
16 - 10000		
17 - 10001		F
18 - 10010		Frame 4
19 - 10011		
20 - 10100	a	
21 - 10101	b	
22 - 10110	c	Frame 5
23 - 10111	d	
24 - 11000	e	
25 - 11001	f	
26 - 11010	g	Frame 6
27 - 11011	h	
28 - 11100	<u> </u>	
29 - 11101		
30 - 11110		Frame 7
31 - 11111		

- What is the logical address of byte "g"?
- Logical @ = (page #) * (page size) + offset
- Page = 1, Offset = 2 (often written 1:2)
- Logical @ = 1x4 + 2 = 6

0	a
1	b
2	С
3	d
2 3 4 5	е
	f
6	
6 7 8	g h
8	i
9	i j
10	k
11	1
12	m
13	n
14	0
15	р

p#	f #
0	5
1	6
2	1
3	2

0 - 1 - 2 - 3 -	00000 00001 00010 00011		Frame 0
4 -	00100	i	
5 -	00101	j	Frame 1
6 -	00110	k	
_ 7 -	00111	1	
8 -	01000	m	
9 -	01001	n	Frame 2
10 -	01010	0	Traine 2
11 -	01011	р	
12 -	01100		
13 -	01101		Frame 3
14 -	01110		Traine 0
15 -	01111		
16 -	10000		
17 -	10001		Frame 4
18 -	10010		Traine 4
19 -	10011		
20 -	10100	a	
21 -	10101	b	Frame 5
22 -	10110	С	I faille 3
23 -	10111	d	
24 -	11000	e	
25 -	11001	f	Frame 6
26 -	11010	g	i raine o
27 -	11011	h	
28 -	11100		
29 -	11101		Frame 7
30 -	11110		i i aiiie /
31 -	11111		

- What is the physical address of byte "g"?
- Physical @ = (frame #) * (page size) + offset
- Page = 1 is in Frame 6
- Same Offset = 2
- Physical @ = 6x4 + 2 = 26

0	a
1	b
2	С
3 4	d
4	е
5	f
6 7	g
7	g h
8	i i
9	j
10	k
<u>11</u> 12	1
12	m
13	n
14	0
15	р

p #	f #
0	5
1	6
2	1
3	2

Frame 0		00000 00001 00010	- - -	0 1 2
		00011	-	3
	i	00100	_	4
Frame 1	j	00101	_	5
Fraine i	k	00110	_	6
	1	00111	_	7
	m	01000	_	8
	n	01001	_	9
Frame 2	0	01010	_	10
	р	01011	_	11
		01100	_	12
5		01101	_	13
Frame 3		01110	_	14
		01111	_	15
		10000	_	16
		10001	_	17
Frame 4		10010	_	18
		10011	_	19
	a	10100	_	20
	b	10101	_	21
Frame 5	С	10110	_	22
	d	10111	_	23
	е	11000	-	24
	f	11001	_	25
Frame 6	g	11010	_	26
	h	11011	-	27
		11100	-	28
		11101	_	29
Frame 7		11110	_	30
		11111	-	31



In-class Exercise

- A computer has 4 GiB of RAM with a page size of 8KiB; Processes have at most 1 GiB address spaces
 - How many bits are used for physical addresses?
 - How many bits are used for logical addresses?
 - How many bits are used for logical page numbers?

In-class Exercise

- A computer has 4 GiB of RAM with a page size of 8KiB;
 Processes have at most 1 GiB address spaces
 - How many bits are used for physical addresses?

```
Physical RAM: 4 GiB = 2<sup>32</sup> bytes
```

- → 32-bit physical addresses
- How many bits are used for logical addresses?

```
Logical address space: 1 GiB = 2<sup>30</sup> bytes
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- → 30-bit physical addresses
- How many bits are used for logical page numbers?

```
Page size = 2^{13} bytes
```

Number of pages in logical address space: $2^{30}/2^{13} = 2^{17}$

→ 17-bit logical page numbers (and 13-bit offsets)

Generalization

- If the page size is s
- If the logical address is x
- Then:
 - □ the logical page number: p = [x/s]
 - \Box the offset: $o = x \mod s$
- If page p is in frame f
- Then:
 - logical address x translates to physical addressy = f * s + o

м.

Sharing Memory Pages

- Time and again we've talked about processes sharing memory
 - Using shared memory IPC
 - With dynamic linking
- It breaks the memory protection abstraction, but it is useful
- Now that we have paging, and that each process has a page table, there is a very simple mechanism to share memory!
- Just create page table entries that point to the same physical frame in different processes' page tables
- Let's see it on a picture...

Sharing Memory Pages - EASY!

P1 @ space

P1 page table

Text 1.1

Text 1.2

Text 1.3

Data 1.1

P2 @ space

Text 2.1

Text 2.2

Text 2.3

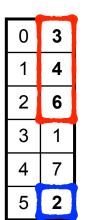
Data 2.1

Data 2.2

Heap 2.1



P2 page table



P3 @ space

Text 3.1

Text 3.2

Text 3.3

Data 3.1

Heap 3.1

P3 page table



- P1 and P2 share all their text pages (invocations of the same program)
- P3 shares one page of its text with P1 and P2 (likely a dynamically linked library, e.g., the code of printf)
- P2 and P3 share one page of heap (likely a shared memory segment)

Physical Memory

Text 3.1

Data 2.1

Heap 2.1

Text 1.1

Text 1.2

Text 3.2

Text 1.3

Data 2.2

Data 3.1

Data 1.1

Pages Not Allocated (yet)

So far, we've shown page tables like this:

Page	Frame
0	1
1	4
2	3
3	7

But in fact, a page table contains entries for all possible pages (up to the maximum allowed number of pages for a process, as defined by the OS

Page	Frame
0	1
1	4
2	3
3	7
4	Not used (yet)
5	Not used (yet)
6	Not used (yet)
7	Not used (yet)

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Valid Bit

- Each page entry is augmented by a valid bit
- Set to valid if the process is allowed to access the page (i.e., if the page in the process address space)
- Set to invalid otherwise
- So page tables look like this:

Page	Frame	Valid
0	1	✓
1	4	✓
2	3	\checkmark
3 4	7	\checkmark
4	XX	X
5	XX	X
6	XX	X
7	XX	X

If the process references a page whose entry's valid bit is not set, then a trap is generated (more on this later)

What about Fragmentation?

- No external fragmentation!!
 - This is of course the HUGE advantage of paging
- Only internal fragmentation
 - Worst case: A process address space is n pages plus 1 byte
 - In this case, we waste 1 page minus 1 byte
 - Average case: Uniform distribution of address space sizes: 50%
 - i.e., on average we waste 1/2 page per process
- Using smaller pages reduces internal fragmentation
- But large pages have advantages:
 - Smaller page tables (and less frequent page table lookups)
 - Loading one large page from disk takes less time than loading many small ones
- Typical page sizes: 4 KiB, 8 KiB, 16 KiB
- Modern OSes: multiple page sizes supported (Linux: Huge pages; Mac: Superpages; Windows: Large pages) through hardware



Frames Management

- The OS needs to keep track of the frames
 - □ Which frames are used (and by which processes?)
 - Which frames are free?
- The OS thus has a data structure: the free frame list
- Much simpler than a list of holes with different sizes
 - □ As done for contiguous memory allocation in the previous "Main Memory" module
- When a process needs a frame, then the OS takes a frame from the free frame list and allocates them to a process (doesn't really matter which one)

Free frame list = $\{13, 14, 15, 18, 20\}$

	4.0		4-		40		
	13	14	15		18	20	

Process creation: P1 needs 4 pages

Free frame list = {15}

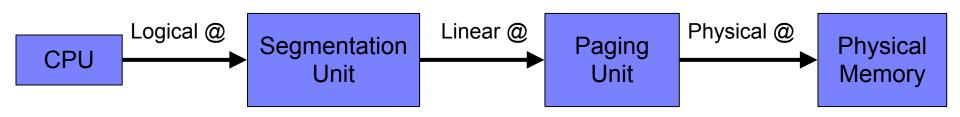
	P1.0	P1.1	15			P1.3		P1.2	
--	------	------	----	--	--	------	--	------	--

P1's page table

Page	Frame
0	13
1	14
2	20
3	18

Segmentation and Paging: e.g., IA 32/64

- The Intel architecture, like most other architectures, provides both segmentation and paging
- A logical/virtual address is transformed into a linear address via segmentation
 - logical address = (segment selector, segment offset)
- A linear address is transformed into a physical address via paging
 - □ linear address = (page number, offset)
- See OSTEP: Advanced Page Tables for full details



Aside: Memory-Mapped Files

- I/O is very expensive
 - Each access to a file requires a disk access, and disks are slow
 - Out of the question to read/write bytes one by one to a file
- On-disk address spaces are brought into RAM and virtualized
- Data files can be virtualized the same way, i.e., by mapping them to memory
- Memory mapping
 - Map disk block(s) to memory frame(s)
 - Initial access is expensive
 - Subsequent access is made in memory (and cheaper)
 - □ The on-disk file may be updated at a convenient time, upon closing...
 - □ Memory mapping is performed by dedicated system calls (mmap)
- Let's look at the man page for mmap

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Conclusion

- Paging is great:
 - No external fragmentation
 - Easy to share pages among processes
- Mechanisms:
 - □ Each process as a page table
 - Each page table entry maps a logical page to a physical frame
 - Each page table entry has a valid bit
 - Address translation is based on the page table
 - The OS manages the list of free frames, and gives out frames to processes
 - It's an easy way to share memory about processes, and makes it trivial to generate memory-mapped files
- In the next set of lecture notes, we look at some challenges with paging and how we deal with them...
- But before, let's look at practice problems...